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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant(s): Wilken et al.  
Serial No.: 10/824,259  
For: PROCESS FOR PREPARING CINNAMIC ACIDS  
AND ALKYL ESTERS THEREOF  
Filing Date: April 14, 2004  
Examiner: Not yet assigned  
Art Unit: Not yet assigned  
Confirmation No.: Not yet assigned  
Customer No.: 000128  
Attorney Docket: H26983

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1420

**TRANSMITTAL**

Dear Sir:

We are enclosing the following items for filing for the above-identified application:

- 1) Supplemental Information Disclosure Statement;
- 2) PTO-1449 with copies of references cited therein;
- 3) Transmittal in duplicate;
- 4) Return Cards.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Paul D. Greeley, Esq.  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

May 17, 2004

Date

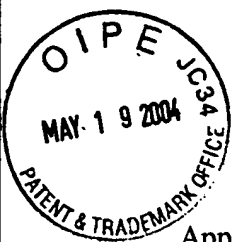
**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON MAY 17, 2004.

KENROY A. BROWNE  
NAME

  
SIGNATURE

05/17/04  
DATE



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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed concurrently herewith. This Information Disclosure Statement is being filed:

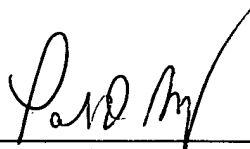
- XXX Within three (3) months of the filing date of the national application;  
\_\_\_\_ Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;  
\_\_\_\_ Before the mailing date of a first Office Action on the merits;  
\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

- \_\_\_\_\_ After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
- \_\_\_\_\_ After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and
- \_\_\_\_\_ After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(I)(1).

A copy of each of the articles cited in the enclosed PTO-1449 is enclosed herewith.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,



\_\_\_\_\_  
Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, CT 06901-2682  
(203) 327-4500

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FORM PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

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Group Art Unit

Not yet assigned

## U. S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	A. C. Albeniz et al., " <i>Catalytic System for Heck Reactions Involving Insertion In Pd-(Perfluoro-organyl) Bond</i> ", J. Organomet. Chem., vol. 1, pg. 16-26, 1999.
	S. D. Bull et al., " <i>Asymmetric Synthesis of <math>\beta</math>-Amino Acid Derivative</i> ", Journal of the Chemical Society, Perkin Transactions, 1, 2001, 23, 3112-3121.
	M. Beller et al., " <i>First Palladium-Catalyzed Heck Reactions with Efficient Colloidal Catalyst Systems</i> ", Journal of Organometallic Chemistry, 1996, 520, 257-259.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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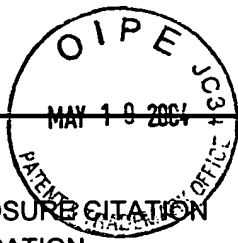
## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Djakovitch et al., " <b>Heck Reactions Between Aryl Halides and Olefins Catalysed by Pd-Complexes Entrapped in Zeolites NaY</b> ", Journal of Organometallic Chemistry, Elsevier Science S.A., 1999, 1, 16-26.
	T. Jeffery et al., " <b>[Pd/Base/QX] Catalyst Systems for Directing Heck-Type Reactions</b> ", Tetrahedron Letter, 1998, 39, 5751-5754.
	M. T. Reetz et al. " <b>Phosphanfreie Palladium-Kataysierte Kupplungen: Die Entscheidende Rolle von Pd-Nanoteilchen</b> ", Angewande Chemie, 1996, 110, 492-495.

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	J. Nokami et al., " <i>Palladium-Catalyzed Coupling Reactions of Bromobenzaldehydes with 3,4-Di(tert-butyl dimethylsilyloxy)-1-Alkene to (3,4-Dihydroxyalkenyl)benzaldehydes in Synthesis of Lipoxin Analogues</i> ", Tetrahedron Letters, 1998, 39, 1005-1008.

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